

**Non-Resident Platina (non-callable) Fixed Deposit  
Account Opening Form**

(Please fill in all details in CAPITAL LETTERS only. All fields marked in '\*\*' are mandatory)

\*Branch Name \_\_\_\_\_ \*Branch Code \_\_\_\_\_

I/We hereby request Ujjivan Small Finance Bank ("the Bank") to open the herein mentioned type of Fixed Deposit in the below mentioned name(s), as per the below mentioned details, on the basis of the information furnished by me/us in the Account Opening Form/Customer Profile Form pertaining to my/our Account maintained with the Bank. I/We also declare and state that the said Account Opening Form, Customer Profile Form and this document together shall constitute the Account Opening documents for the Product mentioned herein. I/We agree and undertake to submit such other information/documents as are required by the Bank as per its Policy/Process for processing my/our request.

Name(s) \_\_\_\_\_ Existing Customer Id if any: \_\_\_\_\_

\*P: \_\_\_\_\_

\*\*J1/G: \_\_\_\_\_

\*\*J2: \_\_\_\_\_

\*\*J3: \_\_\_\_\_

\*\*Strike off if not applicable (P – Primary, J1/G – Joint 1/Guardian, J2 – Joint 2 and J3 – Joint 3)

\*Mode of Operation: ☐ Singly ☐ Jointly ☐ Either or Survivor ☐ Anyone or Survivor ☐ Former or Survivor

☐ Minor by Guardian ☐ Others: \_\_\_\_\_

**Applicable for Term Deposits with "Either or Survivor" or "Former or Survivor" mandate (please tick or cross, appropriately):**

- ☐ Please permit pre-mature closure of the Account in case of death of anyone among us and pay the proceeds of the Account to the survivor(s).
- ☐ Please permit pre-mature closure of the Account in case of death of anyone among us and settle the proceeds of the Account jointly to the legal heir(s) of the deceased (or to the nominee in exclusion of legal heir(s), if a nomination is registered) and the survivor(s).

NRE Platina (non-callable) Fixed Deposit : ☐NRO Platina (non-callable) Fixed Deposit: ☐

(No Pre-closure / Partial Withdrawal allowed for Platina Deposits ; Kindly submit duly filled in Platina sign up form)

\*Deposit Tenure : \_\_\_\_\_ (year/s), \_\_\_\_\_ (month/s) \_\_\_\_\_ (day/s) \* Interest Rate (Please refer to the prevailing interest rate chart): \_\_\_\_\_ %

(&gt;= 12 months to &lt;= 60 months)

 \*Interest Pay-out Frequency: ☐ Monthly\* ☐ Quarterly ☐ At Maturity

(Monthly and quarterly interest pay-out options are available only in respect of fixed deposits with tenure 181 days &amp; above)

\*Interest Pay-out Option^: ☐ Credit to my/our Ujjivan SFB A/c No.\*\* \_\_\_\_\_

☐ Credit to my/our A/c with other bank @ \_\_\_\_\_

\*Maturity Instructions^: (Please note that the instructions for auto-renewal do not apply to non-callable deposits)

☐ Credit to my/our Ujjivan SFB A/c No.\*\* \_\_\_\_\_

☐ Issue DD/PO ☐ Transfer to my/our account with other bank@ (details are given below)

@Other Bank Account details: Bank Name: \_\_\_\_\_

Branch Name: \_\_\_\_\_

Account Number\*\*: \_\_\_\_\_

IFS Code: \_\_\_\_\_

#Discounted interest rate is applicable for monthly pay-out option

^Interest pay-out and closure proceeds will be credited to the mentioned account

\*\* Please note that for NRO fixed deposits, interest and maturity proceeds will not be transferred to NRE accounts

Deposit Amount (&lt; ₹1 Cr to &lt; ₹3 Cr) : ₹ \_\_\_\_\_ In words: \_\_\_\_\_ only.

\*\*\*Deposit funding details:

- a) I/We irrevocably authorise Ujjivan Small Finance Bank to debit my/our Ujjivan SFB NRE Account No. \_\_\_\_\_ for booking the Fixed Deposit
- b) Cheque/DD No : \_\_\_\_\_ Cheque/DD Date: \_\_\_\_\_ Bank Name: \_\_\_\_\_ Branch Name: \_\_\_\_\_
- c) NEFT/RTGS/IMPS/SWIFT Reference No : \_\_\_\_\_ NEFT/RTGS/IMPS/SWIFT Transaction date: \_\_\_\_\_
- Remitting Bank Name: \_\_\_\_\_ Remitting Branch Name: \_\_\_\_\_

\*\*\*Self-signed cheque from own accounts/DD along with the Letter from the issuing bank confirming the proceeds from own accounts will be accepted. NEFT/RTGS/IMPS/SWIFT transactions must be supported by a Letter/statement of remitting bank mentioning all the relevant details of the account confirming the proceeds from own account. For NRE deposits, only proceeds from another NRE account or overseas Bank account will be accepted. Credit of proceeds of cheque/DD/ NEFT/RTGS/IMPS/SWIFT shall be subject to receipt of effects.

**Note: TDS is applicable for the interest earned in NRO deposits**

Name(s) and Signature(s) of the Applicant(s)

Primary/Mandate Holder Signature \_\_\_\_\_

Joint 1/Guardian Signature \_\_\_\_\_

Joint 2 Signature \_\_\_\_\_

Joint 3 Signature \_\_\_\_\_

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Place: \_\_\_\_\_

Date: | D | D | M | M | Y | Y | Y | Y |

## Nomination form (DA1)

### Nomination Details - Form DA-1

☐ If the customer wishes to appoint a nominee for the Account, then the customer shall fill FORM DA-1 herein below.

☐ If the customer does not wish to appoint a nominee, the customer shall sign below:

I/We \_\_\_\_\_ Name of the Customer hereby confirm that I/We do not require any nomination facility.

Name(s) and Signature(s) of the Applicant(s)

|                                  |                            |                   |                   |
|----------------------------------|----------------------------|-------------------|-------------------|
| Primary/Mandate Holder Signature | Joint 1/Guardian Signature | Joint 2 Signature | Joint 3 Signature |
|----------------------------------|----------------------------|-------------------|-------------------|

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Place: \_\_\_\_\_

Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

### FORM DA-1 (NOMINATION FORM)

(See Sections 45-ZA, 45-ZC and 45-ZE read with Section 56 of the Banking Regulation Act, 1949 and rules 2 to 4 of the Banking Companies (Nomination) Rules, 2025)

I/We \_\_\_\_\_ Name of the Customer

nominate the following person(s) to whom in the event of my/our death, the amount of deposit outstanding in the above said account, (after adjusting the amount due, if any, to the Bank) may be paid by Ujjivan Small Finance Bank branch \_\_\_\_\_ Name of the Branch

#### Nomination Details

☐ Simultaneous    ☐ Successive

I/We, the undersigned, hereby nominate the following individual(s) to receive the amount of the deposits(s) or the contents of the locker in respect of the particulars above mentioned in the event of my/our death:

| Sr. No | Name of Nominee | *Address | *Email/Mobile number, if any | Relationship with bank customer, if any | Age | Order of priority in case of successive nomination | *Proportion of amount of deposit in percentage in case of bank deposit |
|--------|-----------------|----------|------------------------------|-----------------------------------------|-----|----------------------------------------------------|------------------------------------------------------------------------|
| (A)    | (B)             | (C)      | (D)                          | (E)                                     | (F) | (H)                                                | (I)                                                                    |
| 1      |                 |          |                              |                                         |     | First Nominee                                      |                                                                        |
| 2      |                 |          |                              |                                         |     | Second Nominee                                     |                                                                        |
| 3      |                 |          |                              |                                         |     | Third Nominee                                      |                                                                        |
| 4      |                 |          |                              |                                         |     | Fourth Nominee                                     |                                                                        |

#The bank may allow to modify these details in e-nomination from time to time, if required.

#### Instructions for Bank Customer:

- You may nominate **more than one individual**, with clearly defined share percentages.
- You may appoint successive nominees. In case of successive nomination, nomination shall be effective **only in favour of one individual in order of priority** in which their name appears in above table of nomination details. It may be noted that nomination of any nominee lower in the order of nomination shall become effective only after the death of all the nominees whose names are higher in the order of nomination.
- This form or the details in this form, as circumstances may admit, can be submitted electronically where the bank enables **e-nomination**.
- If deposit is made in the name of minor in the name of minor or locker is solely hired in the name of minor, this nomination form should be signed by an individual lawfully entitled to act on behalf of the minor.

**Note:**

- (i) Simultaneous nomination refers to nomination of one more nominee but not exceeding four, with defined percentage and total amounting to 100%.
- (ii) Successive nomination refers to nomination in favour of one individual in order of priority and is also limited to four nominees; and the nominee lower in the order shall become effective only after the death of the nominee in the higher order.
- (iii) Column (H) above is not applicable in case of nomination in respect of the lockers.
- (iv) In respect of the deposits, out of column (G) and (H), only one column is to be filled.
- (v) Total percentage across all nominees in column (H) must equal 100%.
- (vi) If more than one individual is nominated, the order of priority shall be deemed to be in order in which names appear in column (B)

## Guardian Details (if any nominee is a minor)

| Sr. No | Name of Nominee | Name of Guardian | Relationship with Nominee | Address | Email/Mobile number of guardian |
|--------|-----------------|------------------|---------------------------|---------|---------------------------------|
| 1      |                 |                  |                           |         |                                 |
| 2      |                 |                  |                           |         |                                 |
| 3      |                 |                  |                           |         |                                 |
| 4      |                 |                  |                           |         |                                 |

## Declaration & Signature

I/We declare that the information provided above is true to the best of my/our knowledge and belief. I/We understand that this nomination will supersede any previous nominations for the above-mentioned account(s).

| Sr. No | *Name of Depositor | Nature of deposit | Distinguishing Number | Signature |
|--------|--------------------|-------------------|-----------------------|-----------|
| 1      |                    |                   |                       |           |
| 2      |                    |                   |                       |           |
| 3      |                    |                   |                       |           |
| 4      |                    |                   |                       |           |

\*Strike out whatever is not relevant

<sup>a</sup> The bank may translate this form in a language in which individual signing understand

\*In case of individual who cannot read and /or write, the signature means thumb-impression of such individual, which should be attested by two witnesses.

**Witnesses: \*\*\***

|                                   |                                   |
|-----------------------------------|-----------------------------------|
| 1.Signature<br>Name:<br>Address:  | 2.Signature<br>Name:<br>Address:  |
| Place:                      Date: | Place:                      Date: |

- (Applicable for minor's Account):** The herein mentioned guardian is the lawful guardian having legal authority to take care of the person and the properties of the minor, in whose name the Account is being opened. The said guardian shall represent the said minor in all future transactions of any description in the Account until the said minor attains majority. I/We shall indemnify and keep the Bank indemnified, its affiliates, successors, assigns, officers and employees at all times, from and against all actions, demands, losses, costs and expenses whatsoever which the Bank may at any time incur or sustain as a consequence(s) of any claim raised by the said minor for any withdrawal/transactions made by the said guardian in the Account. Immediately upon the minor becoming major, we shall get each and single transaction related to the Account ratified by the minor. I/We shall also get requisite documents executed, if required, in favour of the Bank by the minor immediately upon the minor becoming major.

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Place: \_\_\_\_\_ Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

## For office use only

Product Code:      Lead Generator Code:      Lead Converter Code:

**Source of Lead:** ☐ Self Referral ☐ Wish List ☐ Customer referral call ☐ Activity lead ☐ Branch lead  
☐ E-mail ☐ SMS ☐ Campaign ☐ Cold call ☐ Others

Request verified and found in order; permitted for account opening.

Value Date: | D | D | M | M | Y | Y | Y | Y |

Date: | D | D | M | M | Y | Y | Y | Y |

Account No. | | | | | | | | | | | | | | | | | | | | | |

Signature of BM/BOO/ CCR/ACRM in case of URC  
(with seal & Employee code)

## ACKNOWLEDGEMENT

Received Application from ..... (Name of A/c holder/s)

☐ NRE Fixed Deposit      ☐ NRO Fixed Deposit      Scheme Code:..... MoP:.....

Received Duly completed Nomination form: ☐ Yes ☐ No Amount:.....

Signature of the Bank Official:..... Name of the Bank Official:.....

Employee ID: ..... Date: | D | D | M | M | Y | Y | Y | Y

## Platina Fixed Deposit Sign up form & Most Important Terms & Conditions

Bank Copy:

1. I/We have read (or have been read over) and understood the terms and conditions governing the herein mentioned banking product ("Account") sought by me/us from Ujjivan Small Finance Bank ("the Bank"), service charges & fees and fair code of conduct of the Bank (together hereinafter referred to as the "T&C"). I/We am/are aware and acknowledge that I/we can have a copy of the T&C from the branch of the Bank by making a specific request. I/We hereby agree that by applying for and availing the Account I/we shall be bound by the T&C, and I/we irrevocably accept, agree and submit to the same. I/We am/are also aware and acknowledge that the Bank has absolute right to vary, modify, amend, cancel or novate the T&C at the sole discretion of the Bank (notifying the same on the official website of the Bank shall be sufficient notice to me/us) and I/we shall be bound by any such variation, modification, amendment, cancellation or novation, unless I/we notify my/our disagreement thereof to the Bank in writing immediately (and in any case not later than 7 days from the date of making such variation, modification, amendment, cancellation or novation by the Bank) upon the Bank making such variation, modification, amendment, cancellation or novation. Continued usage of the Account by me/us shall be my/our acceptance of such variation, modification, amendment, cancellation or novation.
2. I/We am/are aware and acknowledge that pre closure as well as partial withdrawal/closure is not allowed for Platina Fixed Deposit until completion of the full tenure, except in case of death of the depositor / court order / legal or statutory obligation / liquidation of the entity / bankruptcy / adjustment towards any loan(s) and/or overdraft(s) and/or other credit facility(ies) availed by me/us from the Bank if they are 'out of order' or if any other money(ies) owed by me/us to the Bank is not paid/repaid on time or as defined in the T&C applicable for the Platina Fixed Deposit product.
3. I/We am/are aware and acknowledge that Bank shall follow internally reserved Turn Around Time (TAT) for processing any request submitted by me/us, including closure requests.
4. I/We am/are aware and acknowledge that the interest earned on the Platina Fixed Deposit is subject to Tax Deduction at Source (TDS) at the applicable rate as per the provisions of the Income Tax Act. If I/we am/are eligible for exemption from TDS, I/we shall submit Form 121 at the beginning of the Financial Year, and in any case before the date for pay out of the interest on Platina Fixed Deposit. I/We shall ensure that the details of PAN mentioned in Form 121 match with the PAN registered in the Bank's records. I/We am/are also aware that Form 121 once submitted will be valid only for the concerned Financial Year, and any grievance with regard to refund/tax credit vis-à-vis the TDS already deducted and deposited by the Bank with the concerned tax authority should be taken up with the concerned tax authority by me/us for refund/tax credit etc.
5. I/We am/are aware and acknowledge that the calculation of interest on the Platina Fixed Deposit is basis the actual number of days the deposit is kept with the bank
6. I/We am/are aware and acknowledge that the repayment/ renewal of the Platina Fixed Deposit shall be effected as per the maturity instructions recorded with the Bank at the time of booking the FD. I/We am/are aware and acknowledge that the facility/benefit of auto renewal is not available for Platina Fixed Deposit.
7. I/We am/are aware and acknowledge that the Bank reserves the discretion to open or not to open Platina Fixed Deposit, without assigning any reason and without being liable to me/us or anyone in any manner whatsoever.
8. I/We am/are aware and acknowledge that, if I/anyone among us attain the age of 60 years during the tenure of the Platina Fixed Deposit, the Platina Fixed Deposit will not be automatically migrated to Senior Citizen Platina Fixed Deposit Variant, and upon occurrence of any such event during the said tenure the said Platina Fixed Deposit it shall not be eligible for any other benefits as applicable for Senior Citizens.
9. I/We am/are aware and acknowledge that the additional interest rate would be made applicable on Platina Fixed Deposit as decided by the Bank & the said FD is non-callable in nature.
10. I/We am/are aware and acknowledge that the above terms and conditions are in addition to the Comprehensive Terms and Conditions governing Term Deposits which are hosted on the Banks website.
11. Platina Fixed Deposits variants of Non-Callable Bank Deposits shall not be eligible for loan and/or overdraft.

I/We request the Bank to open 'Platina Fixed Deposit' in my/our name accordingly.

The terms and conditions for 'Platina Fixed Deposit' were also explained to me/us in <mention the Regional Language>, by <Name of the Branch Official> (<Designation of the Branch Official>)

Name(s) and Signature(s) of the Applicant(s)

|                                  |                            |                   |                   |
|----------------------------------|----------------------------|-------------------|-------------------|
| Primary/Mandate Holder Signature | Joint 1/Guardian Signature | Joint 2 Signature | Joint 3 Signature |
|----------------------------------|----------------------------|-------------------|-------------------|

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Place: \_\_\_\_\_

Date: | D | D | M | M | Y | Y | Y | Y |

(Signature of the Sourcing Employee)

(Signature of the BM/ BOO)

Lead ID: 

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

**Platina Fixed Deposit Sign up form & Most Important Terms & Conditions**

## Customer Copy:

1. I/We have read (or have been read over) and understood the terms and conditions governing the herein mentioned banking product ("Account") sought by me/us from Ujjivan Small Finance Bank ("the Bank"), service charges & fees and fair code of conduct of the Bank (together hereinafter referred to as the "T&C"). I/We am/are aware and acknowledge that I/we can have a copy of the T&C from the branch of the Bank by making a specific request. I/We hereby agree that by applying for and availing the Account I/we shall be bound by the T&C, and I/we irrevocably accept, agree and submit to the same. I/We am/are also aware and acknowledge that the Bank has absolute right to vary, modify, amend, cancel or novate the T&C at the sole discretion of the Bank (notifying the same on the official website of the Bank shall be sufficient notice to me/us) and I/we shall be bound by any such variation, modification, amendment, cancellation or novation, unless I/we notify my/our disagreement thereof to the Bank in writing immediately (and in any case not later than 7 days from the date of making such variation, modification, amendment, cancellation or novation by the Bank) upon the Bank making such variation, modification, amendment, cancellation or novation. Continued usage of the Account by me/us shall be my/our acceptance of such variation, modification, amendment, cancellation or novation.
2. I/We am/are aware and acknowledge that pre closure as well as partial withdrawal/closure is not allowed for Platina Fixed Deposit until completion of the full tenure, except in case of death of the depositor / court order / legal or statutory obligation / liquidation of the entity / bankruptcy / adjustment towards any loan(s) and/or overdraft(s) and/or other credit facility(ies) availed by me/us from the Bank if they are 'out of order' or if any other money(ies) owed by me/us to the Bank is not paid/repaid on time or as defined in the T&C applicable for the Platina Fixed Deposit product.
3. I/We am/are aware and acknowledge that Bank shall follow internally reserved Turn Around Time (TAT) for processing any request submitted by me/us, including closure requests.
4. I/We am/are aware and acknowledge that the interest earned on the Platina Fixed Deposit is subject to Tax Deduction at Source (TDS) at the applicable rate as per the provisions of the Income Tax Act. If I/we am/are eligible for exemption from TDS, I/we shall submit Form 121 at the beginning of the Financial Year, and in any case before the date for pay out of the interest on Platina Fixed Deposit. I/We shall ensure that the details of PAN mentioned in Form 121 match with the PAN registered in the Bank's records. I/We am/are also aware that Form 121 once submitted will be valid only for the concerned Financial Year, and any grievance with regard to refund/tax credit vis-à-vis the TDS already deducted and deposited by the Bank with the concerned tax authority should be taken up with the concerned tax authority by me/us for refund/tax credit etc.
5. I/We am/are aware and acknowledge that the calculation of interest on the Platina Fixed Deposit is basis the actual number of days the deposit is kept with the bank
6. I/We am/are aware and acknowledge that the repayment/ renewal of the Platina Fixed Deposit shall be effected as per the maturity instructions recorded with the Bank at the time of booking the FD. I/We am/are aware and acknowledge that the facility/benefit of auto renewal is not available for Platina Fixed Deposit.
7. I/We am/are aware and acknowledge that the Bank reserves the discretion to open or not to open Platina Fixed Deposit, without assigning any reason and without being liable to me/us or anyone in any manner whatsoever.
8. I/We am/are aware and acknowledge that, if I/anyone among us attain the age of 60 years during the tenure of the Platina Fixed Deposit, the Platina Fixed Deposit will not be automatically migrated to Senior Citizen Platina Fixed Deposit Variant, and upon occurrence of any such event during the said tenure the said Platina Fixed Deposit it shall not be eligible for any other benefits as applicable for Senior Citizens.
9. I/We am/are aware and acknowledge that the additional interest rate would be made applicable on Platina Fixed Deposit as decided by the Bank & the said FD is non-callable in nature.
10. I/We am/are aware and acknowledge that the above terms and conditions are in addition to the Comprehensive Terms and Conditions governing Term Deposits which are hosted on the Banks website.
11. Platina Fixed Deposits variants of Non-Callable Bank Deposits shall not be eligible for loan and/or overdraft.

I/We request the Bank to open 'Platina Fixed Deposit' in my/our name accordingly.

The terms and conditions for 'Platina Fixed Deposit' were also explained to me/us in &lt;mention the Regional Language&gt;, by &lt;Name of the Branch Official&gt; (&lt;Designation of the Branch Official&gt;)

## Name(s) and Signature(s) of the Applicant(s)

|                                  |                            |                   |                   |
|----------------------------------|----------------------------|-------------------|-------------------|
| Primary/Mandate Holder Signature | Joint 1/Guardian Signature | Joint 2 Signature | Joint 3 Signature |
|----------------------------------|----------------------------|-------------------|-------------------|

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Place: \_\_\_\_\_

Date: 

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

(Signature of the Sourcing Employee)

(Signature of the BM/ BOO)