


**Account Opening Form
Exchange Earner's Foreign Currency Account (EEFC)**
(Please fill in all details in CAPITAL LETTERS only. **All fields marked in ** are mandatory**)*Date D D M M Y Y Y Y

I/We hereby request Ujjivan Small Finance Bank ("the Bank") to open Exchange Earners' Foreign Currency Account (EEFC) in the below mentioned name(s), as per the below mentioned details, on the basis of the information furnished by me/us in the Account Opening Form. I/We agree and undertake to submit such other information/documents as are required by the Bank as per its Policy/Process for processing my/our request.

*Branch Name *Branch Code EEFC Account Type* Current Scheme Code* 12301CRM Lead Number C-KYC Number **ENTITY DETAILS***Existing Customer Yes No If Yes, CIF ID Entity Name
My Current / Savings account to be linked to EEFC Account is I/We hereby request Ujjivan Small Finance Bank to open a USD GBP EUR Others (mention FCY)**BUSINESS DETAILS***Date of Incorporation/Registration GST No. Date of Commencement of Business Country of Incorporation Registration Number Place of Incorporation PAN Number

OR

 Form 49A (Attach if applicable) Exempted (Attach Exemption Certificate and relevant declaration)Importer -Exporter Code (IEC): LEI Number: **CORRESPONDENCE/MAILING ADDRESS***Office Type: Rented OwnedDoor No. and Building Name Street No. and Street Name Area Landmark City District State PIN Code **REGISTERED OFFICE ADDRESS*** Same as Correspondence/Mailing Address Other(please fill the details)Office Type: Rented OwnedDoor No. and Building Name Street No. and Street Name Area Landmark City District State PIN Code **COMMUNICATION DETAILS***Mobile No. Tel. No. E-mail ID Type of Alerts SMS Email NoneAccount Statement Email Physical NoneFrequency (Only for Physical Statement) Monthly Quarterly Half-yearly Annually

*Alerts will be sent to the above mentioned mobile number and E-mail ID

**SMS Alerts and Physical Statement are chargeable as per Current Account Schedule of Charges

***If Email Alerts or/and Email Statement is/are chosen, then email id is mandatory

EEFC Account Opening Form

Application Form No.

ENTITY PROFILE*

Constitution of the entity

<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> HUF	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Limited Liability Partnership
<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> Public Limited Company	<input type="checkbox"/> One Person Company	<input type="checkbox"/> Section 25/8 Companies
<input type="checkbox"/> Government	<input type="checkbox"/> Trust	<input type="checkbox"/> Association	<input type="checkbox"/> Society
<input type="checkbox"/> Club	<input type="checkbox"/> Bank	<input type="checkbox"/> Self Help Group	<input type="checkbox"/> Others _____

Nature of Business*

<input type="checkbox"/> Retailer	<input type="checkbox"/> Wholesaler	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Services
<input type="checkbox"/> Brokers & Real Estate	<input type="checkbox"/> Non- Profit Organization(NPO)	<input type="checkbox"/> Other _____	NGO Darpan registration Unique id* _____
Industry Code* _____	Industry Description* _____		

ANNUAL TURNOVER*

Upto ₹10 Lakhs ₹10 Lakhs - ₹50 Lakhs ₹50 Lakhs- ₹1 Crore ₹1 Crore - ₹50 Crores Above ₹50 Crores

EXISTING BANKING RELATIONSHIPS (CREDIT FACILITY DETAILS)

Credit Facilities* (Mandatory only for Current account)

I/We declare that I/We do not enjoy credit facilities with other banks.

I/We declare that I/We have the following credit facilities with your bank/other banks.

Sl. No:	Name of Bank/ Lending Institution	Type of Lending Arrangement (Sole/Multiple /Consortium)	Nature of Credit Facility/ Financial Assistance	Amount of Facility/Credit Limit (Details of each fundbased & non-fund based facility to be provided) (IN Rupees)	Specify whether ESCROW or Collection/ or Trust & Retention Account	Remarks

AUTHORIZED SIGNATORY DETAILS*

Photograph	Signature	Signatory Contact Details
Paste a recent Passport size photograph here	 Signature	E-mail ID <input data-bbox="1008 1522 1494 1543" type="text"/> <input data-bbox="1008 1563 1494 1581" type="text"/> <input data-bbox="1008 1601 1214 1621" type="text"/> Mobile <input data-bbox="1008 1686 1346 1706" type="text"/> <input data-bbox="1008 1727 1346 1747" type="text"/>
Paste a recent Passport size photograph here	 Signature	E-mail ID <input data-bbox="1008 1803 1494 1823" type="text"/> <input data-bbox="1008 1843 1494 1861" type="text"/> <input data-bbox="1008 1882 1214 1902" type="text"/> Mobile <input data-bbox="1008 1974 1346 1994" type="text"/> <input data-bbox="1008 2014 1346 2034" type="text"/>

EEFC Account Opening Form

Application Form No. _____

Photograph	Signature	Signatory Contact Details	
Paste a recent Passport size photograph here	Signature	E-mail ID	_____
	Name	Mobile	_____
	Designation		
Paste a recent Passport size photograph here	Signature	E-mail ID	_____
	Name	Mobile	_____
	Designation		

ACCOUNT OPERATION

Mode of Operation* As per Board Resolution Anyone Jointly Karta (HUF) Proprietor
 As per Deed Singly Other _____

Name on the Debit Card _____

Cheque Book Facility Yes No**KYC DETAILS OF COMPANIES/FIRMS***

	Document Name	Document Number	Issuing Authority	Date of Issue
Proof of Entity*				
Proof of Address*				

Nomination (Only for Sole Proprietor)

 Required (Please fill Form DA-1) Not Required

Customer Signature

1 Applicant Signature

FORM DA-1 (Nomination Form)

Nomination under Section 45ZA of the Banking Regulation Act, 1949 and rule 2(1) of the Banking Companies (Nomination) Rules 1985 in respect of bank deposits.

I/We _____ Name of the customer _____ resident of _____ Address _____ nominate the following person to whom in the event of my/our death, the amount of deposit outstanding in the above said account, (after adjusting the amount due, if any, to the Bank) may be paid by Ujjivan Small Finance Bank _____ branch.

Nominee				
Name	Address	Relationship with depositor, if any	Age	Date of Birth in case of minor

**As the nominee is a minor on this date, I appoint _____ Name _____, _____ Relationship _____ of minor, to receive the amount of the deposit in the account on behalf of the nominee in the event of my/ our/ minor's death till the nominee is a minor. Where deposit is made in the name of minor, the nomination must be signed by a person lawfully entitled to act on behalf of the minor.

**Strike out if nominee is not a minor

Signature/thumb impression of the Applicant

Signature

EEFC Account Opening Form

Application Form No.

Declaration

1. I/WE accept and agree to be bound by the Ujjivan SFB's Conditions including those excluding/limiting the Bank Liability. I/WE understand that Bank may as its absolute discretion, discontinue any of the services completely or partially without any notice to me/us.
2. I/WE agree that Bank may debit my account for service charges as applicable from time to time.
3. I/WE authorize the Ujjivan SFB's to keep providing me/us the information of the Bank's new products and offers through my/our preferred mode of contact or through a phone call as convenient.
4. I / WE confirm that I / WE am/ are resident of India. I/We hereby irrevocably authorize the Bank to disclose from time to time any information on or relating to my/our account(s) with the Bank to any other branch of Ujjivan SFB and any of its subsidiaries.
5. The Ujjivan SFB's General Business Conditions receipt and acceptance of which I/we herewith confirm, and all other rules and conditions of the Bank, shall apply to each of the accounts and all documentation in relation thereto.
6. Information pursuant to Anti-Money Laundering
 - I/We am/are the beneficial owner of all assets run through my/our own account
 - The beneficial owner of some/all assets run through the account is/are (name and address of person for whom the account(s) are maintained)
7. The Branch of Ujjivan SFB where my/our account is kept (the "Accountable Branch") is the sole branch of account for repayment of any credit balance in the account and any interest accruing thereon which will only be made at the Accountable Branch and in the currency in which the credit balance is denominated. Accordingly, Ujjivan SFB shall not be required to repay any such credit balance or interest at its head office or any branch other than the Accountable Branch for so long as and to the extent that the Accountable Branch cannot repay the balance or interest due to (a) an act of war, insurrection or civil strife; or (b) an action by the government or any instrumentality of preventing such repayment. The competent court within whose jurisdiction the Accountable Branch is situated shall have exclusive jurisdiction in respect of any claims against Ujjivan SFB. However, this will not affect the Bank's general lien and right of set-off over all my/our accounts at all branches of Ujjivan SFB and for this purpose Ujjivan SFB shall be entitled to combine and consolidate all or any of such accounts.
8. I/We understand that operation in the EEFC account to be opened will be governed by the rules and regulations of the Reserve Bank Of India as laid down in the Foreign Exchange Management Act which may be amended from time to time. I/We hereby agree to abide by all the relevant rules and regulations applicable to the conduct and operation as laid down and prescribed by the Reserve Bank of India.
9. I/We agree that no interest will be payable on credit balances maintained in the account. Name of the signatories authorised to open the account along with specimen signatures are the same as for our INR current account with you

Authorised Signatory

Authorised Signatory

Authorised Signatory

Authorised Signatory

*in case applicant is providing thumb impression and not the signature

Name of witness:

Name of witness:

Signature:

Signature:

Address:

Address:

Customer Undertaking

1. I/We undertake to abide by the rules governing EEFC account(s) as laid down by the Reserve Bank of India and as may be amended from time to time.
2. I/We understand, note and agree with the following with respect to the EEFC account(s) to be opened with Ujjivan SFB:
 3. An EEFC account can be held only in the form of a current account. No interest is payable on EEFC account(s)
 4. 100% foreign exchange earnings can be credited to the EEFC account subject to the condition that the sum total of the accruals in the account during a calendar month will be converted into Rupees on or before the last day of the succeeding calendar month after adjusting for utilisation of the balances for approved purposes or forward commitments
 5. In case of retaining the FCY Balance in EEFC Account for forward commitment, I will contact with my Relationship Manager/ Branch Head of closest Ujjivan SFB for further guidance
 6. Resident individuals have been permitted to include resident close relative(s) as defined in the Companies Act 1956 as a joint holder(s) in this EEFC bank account. However, they shall not be eligible to operate the account during the lifetime of the resident account holder
 7. In case of joint holding, the mode of operation shall be 'Former or Survivor' only
 8. Only the first account holder can get Internet Banking access with viewing rights only and no transaction rights are provided. No Internet Banking facility is provided to the joint holders
 9. ATM/Debit card, cheque books, Phone Banking or mobile banking facility will NOT be available to the joint holders

Authorised Signatory

Authorised Signatory

Authorised Signatory

Authorised Signatory

*in case applicant is providing thumb impression and not the signature

Name of witness:

Name of witness:

Signature:

Signature:

Address:

Address:

EEFC Account Opening Form

Application Form No.

FOR OFFICE USE ONLY*

Branch ID* Branch Name*

Product Code Product Name

Lead Generator Code U J Lead Convertor Code U J

Source of Lead: Self Referral Wish list Customer referral call Activity lead Branch lead
 E-mail SMS Campaign Cold call Others

Customer has signed in my presence
 KYC documents submitted by customer/s are verified with original and found correct
 I confirm that I visited the business/communication address mentioned in the account opening form on ----/----/----. I met the applicant/ Based on the enquiries I made, I'm satisfied beyond reasonable doubt on the information provided
 Nomination mentioned for this account is registered.

Deviation Approval(If Any): **Verified By**Name of the official: Signature of authorized official: Employee ID: U J Date: D D M M Y Y Y Y**BM Authorisation**

Documentation completed and form submitted on:

Date: D D M M Y Y Y YAccount opening form and KYC Documentation scrutinized and found in order.
Open the AccountDate: D D M M Y Y Y Y**BM Signature:**

(With Seal & Employee Code)

Checked by DVU OfficialDVU Official's Remarks: Signature: Date: D D M M Y Y Y Y

-----Detachable-----

Acknowledgement SlipDate : D D M M Y Y Y Y

I/We acknowledge the receipt of nomination made by you in favour of:

Name of the nominee: Age (in Years): Your account lead number is: Signature of Bank Official: Employee ID:

-----Detachable-----

Acknowledgement SlipDate : D D M M Y Y Y YUjjivan Small Finance Bank acknowledges the receipt of application from for opening an EEFC Account.

Application Form No./ Barcode

Branch ID and Name

Signature of Sales Staff

Bank Seal