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General information about company		
Scrip code	542904	Enter the quarter ended date only
NSE Symbol	UJJIVANSFB	
MSEI Symbol	NOTLISTED	
ISIN	INE551W01018	
Name of the entity	UJJIVAN SMALL FINANCE BANK LIMITED	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Half Yearly	
Date of Quarter Ending	30-09-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	<div>Add Notes</div> <<< Notes mandatory, if Not Applicable
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	No	<div>Add Notes</div> <<< Notes mandatory, if Not Applicable
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes	
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	No	<div>Add Notes</div> <<< Notes mandatory, if Not Applicable
Risk management committee	Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	u00222	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)	<div>Add Notes</div>	
Remarks for Exchange (not for Website Dissemination)	<div>Add Notes</div>	

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## Annexure 1

### II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:  
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.  
 2. Date of Appointment can be any day upto September 30, 2022.  
 3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	01-04-2022		
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	01-07-2025		
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	28-01-2022		
4	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
5							
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	27-03-2021		
2	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	25-02-2021		
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
6	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
7	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	26-08-2021		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	01-07-2022		
4	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
5							

6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Add Notes

Sr. No.	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
<div>AddDelete</div>							
1	24-06-2025			Yes	9	8	6
2	24-07-2025	29		Yes	8	8	6

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\* to be filled in only for the current quarter meetings

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting *	No. of members attending the meeting (other than Board of Directors)
<div>AddDelete</div>										
1	Audit Committee	29-04-2025				Yes	5	5	5	18
2	Audit Committee	23-07-2025	84			Yes	5	5	5	18
3	Nomination and remuneration committee	26-05-2025				Yes	4	4	4	3
4	Nomination and remuneration committee	22-07-2025	56			Yes	4	4	4	2
5	Nomination and remuneration committee	06-08-2025	14			Yes	4	4	4	2
6	Risk Management Committee	20-06-2025				Yes	7	6	4	10
7	Risk Management Committee	22-07-2025	31			Yes	7	7	5	7
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\* to be filled in only for the current quarter meetings

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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Sr	Subject	Compliance status
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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### Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter

No

Other details of cyber security incidence or breaches or loss of data event

[Add Notes](#)

Number of cyber security incidence or breaches or loss of data event occurred during the quarter

**Sr.**

**Date of the event**

**Brief details of the event**



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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, BRSR & BRSR core, if applicable, displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report	24A(1A), 24A(1B), 24A(1C)	Yes	
7	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
8	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				<a href="#">Add Notes</a>

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1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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### Signatory Details

Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	13-10-2025

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### Investor Grievance Details

No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

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## Disclosure of Updates to Ongoing Tax Litigations or Disputes

The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

## Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes

Add Notes

Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
<div>AddDelete</div>				
1	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	26-12-2023	Appeal Filed March 21, 2024	Pending Disposal
2	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II. Tamil Nadu	30-12-2023	Appeal Filed March 27, 2024	Pending Disposal
3	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	27-04-2024	Appeal Filed July 25, 2024	Pending Disposal
4	Assistant Commissioner GST & Central Excise, Bhubaneswar-I Division	29-04-2024	Appeal Filed July 31, 2024	Pending Disposal
5	Deputy Commissioner State Tax, Gandhi Maidan, Patna West , Bihar	22-07-2024	Appeal Filed October 25, 2024	Pending Disposal
6	Assistant Commissioner State Tax, Gandhi Maidan, Patna West , Bihar	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interets and Penalty	Closed
7	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II. Tamil Nadu	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interets and Penalty	Closed
8	Joint Commissioner, Pune , Maharashtra	19-02-2025	Appeal Filed May 12, 2025	Pending Disposal
9	Assistant Commissioner, Ghatak 6 (Ahmedabad) Range 6	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
10	Assistant Commissioner, Division IV Jamshedpur Ranch CBIC	27-02-2025	Appeal Filed May 26, 2025	Pending Disposal
11	Assistant Commissioner, Division IV Jamshedpur Ranch CBIC	21-02-2025	Appeal Filed May 21, 2025	Pending Disposal
12	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
13	Joint Commissioner, Corporate Circle Meerut	10-02-2025	Appeal Filed May 08, 2025	Case come up for hearing
14	Assistant Commissioner of State Tax, Indore Division 3, Indore Zone.	13-05-2025	Appeal Filed August 11, 2025	Case come up for hearing
15	Assessing Officer, ITD in the matter of Ujjivan Financial Services Ltd (merged with Ujjivan Small Finance Bank w.e.f 01.04.2023) against 143(3) order Dt.21.12.2019	17-01-2020	Pending with CIT(A), NFAC	Submission made u/s 250 on 21st Jan 2025 against request for final-submission of appeal document. Awaiting for final hearing.
16	Assessing Officer, ITD in the matter of USFB against 143(3) order 21.09.2022	10-10-2022	Pending with CIT(A), NFAC	Submission made u/s 250 on 30th Jan 2025 against request for final-submission of appeal document. Awaiting for final hearing.
17	Assessing Officer, ITD in the matter of USFB against Order u/s 201 dated 29.12.2023	25-01-2024	Pending with CIT(A), NFAC	No update.
18	Assessing Officer, ITD in the matter of USFB against 143(3) order dated May 21, 2021	03-09-2024	Pending with Jurisdictional AO	Bank received OGE u/s 250 dated 13.05.2025 granting a refund of 2.18 Crores being originally requested refund of 1.38 Cr and .76 Cr of FY 2021-22's wrongly adjusted against this along with the interest u/s 244A of .03 Cr. Refund received on 23rd July,2025. Rectification Letter has been submitted for Interest u/s 244A amounting to Rs. 64,46,122/- on 1st Aug,2025 with AO.

19	Assessing Officer, ITD in the matter of USFB against 143(3) order	25-04-2022	Pending with Jurisdictional AO	Pending with Jurisdictional AO
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