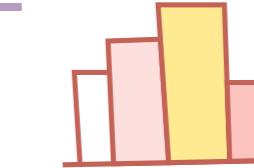




INWARD DOCUMENTS

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| Gr. No. | Purpose Group Name | Purpose Code | Description | Documentation & Additional Information Required |
|---------|--------------------|--------------|--|---|
| 0 | Capital Account | P0001 | Repatriation of Indian investment abroad in equity capital (shares) | ESOP Declaration |
| | | P0002 | Repatriation of Indian investment abroad in debt securities. | ESOP Declaration |
| | | P0003 | Repatriation of Indian investment abroad in branches & wholly owned subsidiaries | ODI declaration |
| | | P0004 | Repatriation of Indian investment abroad in subsidiaries and associates | ODI declaration |
| | | P0005 | Repatriation of Indian investment abroad in real estate | ODI declaration |
| | | P0006 | Foreign direct investment in India in equity | FDI Declaration |
| | | P0007 | Foreign direct investment in India in debt securities | FDI Declaration |
| | | P0008 | Foreign direct investment in India in real estate | FDI Declaration |
| | | P0009 | Foreign portfolio investment in India in equity shares | SEBI certificate |
| | | P0010 | Foreign portfolio investment in India in debt securities including debt funds | SEBI certificate |
| | | P0011 | Repayment of loans extended to Non-Residents | Customer Declaration (Stating it is a non-interest bearing loan and from a close relative) |
| | | P0012 | Long & medium term loans with original maturity above one year from Non-Residents to India | Customer Declaration (Stating it is a non-interest bearing loan and from a close relative) |
| | | P0013 | Short term loans with original maturity upto one year from Non-Residents to India | Customer Declaration (Stating it is a non-interest bearing loan and from a close relative) |
| | | P0014 | Receipts o/a Non-Resident deposits (FCNRB/NRERA etc.) ADs should report these even if funds are not "swapped" into Rupees. | Applicable for NRI clients only - Supporting documents or purpose confirmation is not applicable #Source of funds may be requested for high value remittances or to do enhanced due diligence |
| | | P0021 | Receipts on account of sale of share under Employee stock option | Customer Declaration : ESOP Declaration #For higher value transactions, sale certificate or equivalent document, displaying the sale date, no. of shares & amount, may be needed *Subject to further regulatory scrutiny, the following documents may be requested: Allotment Letter or equivalent document(s) stating shares were allotted under any employee benefit scheme i.e., ESOP, RSU, ESPP, etc. (Offer/allotment letter should state that it is a cashless scheme, else details of outward remittance will be required) |

| Gr. No. | Purpose Group Name | Purpose Code | Description | Documentation & Additional Information Required |
|---------|---------------------------------|--------------|--|--|
| 3 | Travel | P0301 | Purchases towards travel (Includes purchases of foreign TCs, currency notes etc over the counter, by hotels, hospitals, Emporiums, Educational institutions etc. as well as amount received by TT/SWIFT transfers or debit to Non-Resident account). | <p>(A) Amount up to USD 100,000 or equivalent: No Supporting documents required</p> <p>(B) Amount more than USD 100,000 or equivalent, supporting documents displaying the source/nature of funds/services is required, i.e. any one of the below: -</p> <ul style="list-style-type: none"> - Accepted Purchase Order - Proforma Invoice/Fee Statement - Agreement/Contract Note with the remitter specifying amount of consideration. - Email/Fax from the remitter mentioning the details of the order - Other equivalent document(s) |
| | | P0308 | FC surrendered by returning Indian tourists. | |
| 5 | Construction Services | P0501 | Receipts for cost of construction of services projects in India | Real Estate declaration |
| 6 | Insurance Services | P0601 | Receipts of life insurance premium | <p>(A) Passport copy of remitter</p> <p>(B) Customer Declaration: -</p> <ul style="list-style-type: none"> - Residential status of remitter (whether NRI/PIO/FN) - Purchase of property is other than agricultural land / plantation property / farm house <p>#Beneficiary has to be the contractor (firm/individual)</p> <p>In case the remitter is any other entity,</p> <p>(A) Customer Declaration stating the nature of the claim settlement</p> <p>(B) Copy of policy document /Settlement Letter/other equivalent document</p> |
| | | P0602 | Receipts of freight insurance – relating to import & export of goods | |
| | | P0603 | Receipts on account of other general insurance premium | |
| | | P0604 | Receipts of Reinsurance premium | |
| | | P0605 | Receipts on account of Auxiliary services (commission on insurance) | |
| | | P0606 | Receipts on account of settlement of claims | |
| 8 | Computer & Information Services | P0801 | Hardware consultancy/implementation | <p>(A) Amount up to USD 100,000 or equivalent: No Supporting documents required</p> <p>(B) Amount more than USD 100,000 or equivalent, supporting documents displaying the source/nature of funds/services is required, i.e. any one of the below: -</p> <ul style="list-style-type: none"> - Accepted Purchase Order - Proforma Invoice/Fee Statement - Agreement/Contract Note with the remitter specifying amount of consideration. - Email/Fax from the remitter mentioning the details of the order - Other equivalent document(s) |
| | | P0802 | Software consultancy/implementation (other than those covered in SOFTEX form) | |
| | | P0803 | Data base, data processing charges | |
| | | P0804 | Repair and maintenance of computer and software | |
| | | P0805 | News agency services | |
| | | P0806 | Other information services- Subscription to newspapers, periodicals, etc. | |
| | | P0807 | Off site Software Exports | |
| 9 | Royalties & License Fees | P0901 | Franchises services – patents, copy rights, trade marks, industrial processes, franchises etc. | |
| | | P0902 | Receipts for use, through licensing arrangements, of produced originals or prototypes (such as manuscripts and films) | |

| Gr. No. | Purpose Group Name | Purpose Code | Description | Documentation & Additional Information Required |
|---------|--|--------------|---|---|
| 10 | Other Business Services | P1001 | Merchanting Services – net receipt (from sale and purchase of goods without crossing the border). | |
| | | P1002 | Trade related services – Commission on exports/imports. | |
| | | P1003 | Operational leasing services (other than financial leasing and without operating crew) including charter hire | |
| | | P1004 | Legal services | |
| | | P1005 | Accounting, auditing, book keeping and tax consulting services | |
| | | P1006 | Business and management consultancy and public relations services | |
| | | P1007 | Advertising, trade fair, market research and public opinion polling services | |
| | | P1008 | Research & Development services | |
| | | P1009 | Architectural, engineering and other technical services | |
| | | P1010 | Agricultural, mining and on –site processing services – protection against insects & disease, increasing of harvest yields, forestry services, mining services like analysis of ores etc. | |
| | | P1011 | Inward remittance for maintenance of offices in India | |
| | | P1012 | Distribution Services | |
| | | P1013 | Environmental Services | |
| | | P1019 | Other services not included elsewhere | |
| 11 | Personal, Cultural & Recreational services | P1101 | Audio-visual and related services – services and associated fees related to production of motion pictures, rentals, fees received by actors, directors, producers and fees for distribution rights. | |
| | | P1102 | Personal, cultural services such as those related to museums, libraries, archives and sporting activities and fees for correspondence courses of Indian Universities/Institutes | |

| Gr. No. | Purpose Group Name | Purpose Code | Description | Documentation & Additional Information Required |
|---------|--------------------|--------------|--|---|
| 13 | Transfers | P1301 | Inward remittance from Indian non-residents towards family maintenance and savings | # Remitter should be an individual account holder only *In case the remitter and beneficiary are the same individuals, declaration is needed |
| | | P1302 | Personal gifts and donations | #Remitter & Beneficiary should be individuals only *In case the remitter is a Family Trust, one of the following supporting document is needed: - Trust Deed - Declaration from remitter on Trust letter head Note: As per the Foreign Contribution Act 2011, any person receiving 'Foreign Contribution', from any relative in excess of INR 10 Lacs in a FY needs to submit 'FC1 Form' to Ministry of Home Affairs (MHA) within 90 days of receipt of funds |
| | | P1303 | Donations to religious and charitable institutions in India | MHA approval copy |
| | | P1304 | Grants and donations to governments and charitable institutions established by the governments | MHA approval copy and Underlying documents to establish the nature of transactions |
| | | P1306 | Receipts / Refund of taxes | (A) Customer Declaration stating why and how the taxes were paid (B) Proof of Tax Payment OR Other equivalent documents |
| 14 | Income | P1401 | Compensation of employees | No supporting documents are required (Remitter should be non-individual only) |
| | | P1403 | Inward remittance towards interest on loans extended to non-residents (ST/MT/LT loans) | ODI declaration |
| | | P1404 | Inward remittance of interest on debt securities –debentures / bonds /FRNs etc. | Customer Declaration & Underlying documents to establish the nature of transactions |
| | | P1405 | Inward remittance towards interest receipts of ADs on their own account (on investments.) | Customer Declaration & Underlying documents to establish the nature of transactions |
| | | P1409 | Inward remittance of dividends (on equity and investment fund shares) by Indian FDI Enterprises, other than branches, operating abroad | ODI declaration |
| | | P1410 | Inward remittance on account of interest payment by Indian FDI enterprises operating abroad to their Parent company in India. | ODI declaration |
| | | P1411 | Inward remittance of interest income on account of Portfolio Investment made abroad by India | ESOP Declaration |
| | | P1412 | 2 Inward remittance of dividends on account of Portfolio Investment made abroad by India on equity and investment fund shares | ESOP Declaration |
| 15 | Others | P1501 | Refunds / rebates on account of imports | Details of original underlying transaction/ import payment |
| | | P1502 | Reversal of wrong entries, refunds of amount remitted for non-imports | Details of original underlying transaction/ import payment |
| | | P1503 | Remittances (receipts) by residents under international bidding process. | Underlying document of international bidding |