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General information about company		
Scrip code	542904	Enter the quarter ended date only
NSE Symbol	UJJIVANSFB	
MSEI Symbol	NOTLISTED	
ISIN	INE551W01018	
Name of the entity	UJJIVAN SMALL FINANCE BANK LIMITED	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	31-12-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	Add Notes
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes	
Risk management committee	Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	u00222	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)	Add Notes	
Remarks for Exchange (not for Website Dissemination)	Add Notes	

&lt;&lt;&lt; Notes mandatory, if Not Applicable

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Annexure 1																			
Annexure 1 to be submitted by listed entity on quarterly basis																			
1. Composition of Board of Directors																			
Declaration of independence of Board of Directors registration																			
Whether the Board complies with Regd. Requirement										Yes									
Whether the Company is listed on SEBI or BSE										No									
Disqualification of Directors under section 161 of the Companies Act, 2013																			
Sl. No.	Name of the Director	DOB	Category 1 of Directors	Category 2 of Directors	Category 3 of Directors	Date of Birth	Whether the Director is disqualified	Start Date of Disqualification	End Date of Disqualification	Details of Disqualification	Current status	Whether general resolution passed (Under Reg. 17(1)(b) of Listing Regulations)	Date of meeting passed resolution	Initial Date of appointment	Date of re-appointment	Date of retirement	Number of Independent Directors on the Board (Including the Director who is disqualified)	Number of Independent Directors on the Board (Excluding the Director who is disqualified)	Number of Independent Directors on the Board (Including the Director who is disqualified) as per Regd. Requirement
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.	14.	15.	16.	17.	18.	19.	20.
1.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
2.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
3.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
4.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
5.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
6.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
7.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
8.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
9.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10
10.	Dr. Anand Kulkarni	1955-08-15	Independent Director	Not Disqualified	Not Disqualified	1955-08-15	No				Not Disqualified	No		20-08-2021	20-08-2024	20-08-2024	10	10	10

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	01-04-2022		
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	01-07-2025		
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Baravar Anantharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02101808	Baravar Anantharamaiah Prabhakar	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	28-01-2022		
4	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
5							
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	27-03-2021		
2	02101808	Baravar Anantharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	25-02-2021		
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
6	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
7	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	26-08-2021		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	01-07-2022		4
4	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
5							
6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

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III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Add Notes

Sr. No.	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
<div>AddDelete</div>							
1	24-07-2025			Yes	8	8	6
2	17-10-2025	84		Yes	8	8	6

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\* to be filled in only for the current quarter meetings

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
	<div>AddDelete</div>									
1	Audit Committee	23-07-2025				Yes	5	5	5	18
2	Audit Committee	15-10-2025	83			Yes	5	5	5	13
3	Audit Committee	17-10-2025	1			Yes	5	5	5	12
4	Nomination and remuneration committee	06-08-2025				Yes	4	4	4	2
5	Nomination and remuneration committee	14-10-2025	68			Yes	4	4	4	10
6	Risk Management Committee	22-07-2025				Yes	7	7	5	7
7	Risk Management Committee	16-10-2025	85			Yes	7	6	4	5
8	Risk Management Committee	25-11-2025	39			Yes	7	6	4	6
9	Corporate Social Responsibility Committee	10-12-2025	14			Yes	4	3	2	9
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\* to be filled in only for the current quarter meetings

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<b>Add Notes</b>

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Sr	Subject	Compliance status
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		<div>Add Notes</div>
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

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### Signatory Details

Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	17-01-2026

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Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

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Any Other Information for Disclosure of Imposition of Fine or Penalty					<a href="#">Add Notes</a>
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
<div><a href="#">Add</a><a href="#">Delete</a></div>					
1	Assistant Commissioner (ST) Suramangalam Salem, Tamilnadu	Penalty Order passed for FY 21-22	29-12-2025	In FY 2021-22, due to a technical mapping error of the Service Outlet Locator ID (SOL ID), on certain turnover accounted in Tamilnadu State, tax was discharged from Karnataka instead of Tamil Nadu (1 Crore approx. taxable value). While the Adjudicating officer (AO) accepted Bank's claims that IGST has been paid to the correct recipient state under Section 12(12) of the IGST Act, 2017, for the misreporting of turnover in GSTR-1 and GSTR-3B the AO levied penalty as according to him the same constitutes non-compliance with statutory reporting requirements	50000

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The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				<a href="#">Add Notes</a>
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
<a href="#">Add</a>	<a href="#">Delete</a>			
1	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	26-12-2023	Appeal Filed March 21, 2024	Pending Disposal
2	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II, Tamil Nadu	30-12-2023	Appeal Filed March 27, 2024	1st hearing Done
3	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	27-04-2024	Appeal Filed July 25, 2024	Pending Disposal
4	Assistant Commissioner GST & Central Excise, Bhubaneswar-I Division	29-04-2024	Appeal Filed July 31, 2024	Pending Disposal
5	Deputy Commissioner State Tax, Gandhi Maidan, Patna West, Bihar	22-07-2024	Appeal Filed October 25, 2024	Pending Disposal
6	Assistant Commissioner State Tax, Gandhi Maidan, Patna West, Bihar	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interets and Penalty	Closed
7	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II, Tamil Nadu	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interets and Penalty	Closed
8	Joint Commissioner, Pune, Maharashtra	19-02-2025	Appeal Filed May 12, 2025	Hearing Done
9	Assistant Commissioner, Ghatak 6 (Ahmedabad) Range 6	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
10	Assistant Commissioner, Division IV Jamshedpur Ranchi CBIC	27-02-2025	Appeal Filed May 26, 2025	Pending Disposal
11	Assistant Commissioner, Bidhannagar Division Kolkata CBIC	21-02-2025	Appeal Filed May 21, 2025	Pending Disposal
12	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
13	Joint Commissioner, Corporate Circle Meerut	10-02-2025	Appeal Filed May 08, 2025	Multiple Hearing done
14	Assistant Commissioner of State Tax, Indore Division 3, Indore Zone.	13-05-2025	Appeal Filed August 11, 2025	Pending Disposal
15	Assessing Officer, ITD in the matter of Ujjivan Financial Services Ltd (merged with Ujjivan Small Finance Bank w.e.f 01.04.2023) against 143(3) order Dt.21.12.2019	17-01-2020	Pending with CIT(A), NFAC	Submission made u/s 250 on 14th May 2025 against request for final-submission of appeal document. Awaiting for final hearing.
16	Assessing Officer, ITD in the matter of USFB against 143(3) order 21.09.2022	10-10-2022	Pending with CIT(A), NFAC	Submission made u/s 250 on 30th Jan 2025 against request for final-submission of appeal document. Awaiting for final hearing.
17	Assessing Officer, ITD in the matter of USFB against Order u/s 201 dated 29.12.2023	25-01-2024	Pending with CIT(A), NFAC	No update. Same as Q2 status.
18	Centralized Processing Center Income Tax Department	19-12-2025	Bank has received the intimation under section 143(1) for Assessment Year 2024-25 from CPC on 19.12.2025 in terms of which Refund is ascertained at Rs. 15.58 crore. For the balance 13.93 crore, as there are mistakes apparent on record, a rectification application u/s 154 is filed by Bank with Jurisdictional AO. Parallely a appeal will be filed with CIT(A).	Appeal to be filed